Russian speakers use inflectional knowledge in choosing the diminutives of nonce words

Guy Tabachnick gtabach.github.io

University of Nova Gorica

FDSL 17 November 20, 2024

Outline

- Introduction
- Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

Introduction

Russian nouns may select a number of different diminutives, and this choice is sensitive to its phonological (and other) properties (Gouskova et al., 2015; Kapatsinski, 2010; Magomedova, 2017; Magomedova & Slioussar, 2017; Polivanova, 2008 [1967])

I show: the diminutive is also sensitive to a noun's *inflectional* properties

- in the lexicon: nouns with certain *inflectional stress patterns* and *plural suffix* prefer a certain diminutive suffix
- nonce word study: speakers' choice of diminutive is influenced by these factors

Introduction

Part of a growing body of evidence (Copot & Bonami, 2023; Tabachnick, 2024) that speakers learn statistical correlations between related forms, even beyond inflection (cf. Ackerman & Malouf, 2013)

- This morphological knowledge should not be encoded in *lexical* representations or formal morphological grammar
- Instead, it belongs in a separate *pattern-matching mechanism* where speakers learn generalizations over lexical representations, like gradient versions of redundancy rules (Bermúdez-Otero, 2013; Jackendoff, 1975)

Outline

- Introduction
- 2 Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

stre	ess pattern	stem	suffix	mobile
ϵ	example	'bus'	'pencil'	'hair'
ı	nominative	avtóbus	karandáş	vólos
SG	dative	avtóbus-u	karandaş-ú	vólos-u
	nominative	avtóbus-i	karandaş-í	vólos- i
PL	dative	avtóbus-am	karandaş-ám	volos-ám

str	ess pattern	stem	suffix	mobile
	example	'bus'	'pencil'	'hair'
	nominative	avtóbus	karandáş	vólos
SG	dative	avtóbus-u	karandaş-ú	vólos-u
D.T.	nominative	avtóbus-i	karandaş-í	vólos- i
PL	dative	avtóbus-am	karandaş-ám	volos-ám

str	ess pattern	stem	suffix	mobile
	example	'bus'	'pencil'	'hair'
	nominative	avtóbus	karandáş	vólos
SG	dative	avtóbus-u	karandaş- <mark>ú</mark>	vólos-u
	nominative	avtóbus- i	karandaş- <mark>í</mark>	vólos- i
PL	dative	avtóbus-am	karandaş- <mark>ám</mark>	volos-ám

stre	ess pattern	stem	suffix	mobile
ϵ	example	'bus'	'pencil'	'hair'
r	nominative	avtóbus	karandáş	vólos
SG	dative	avtóbus-u	karandaş-ú	vólos-u
, r	nominative	avtóbus- i	karandaş-í	vólos- i
PL	dative	avtóbus-am	karandaş-ám	volos- <mark>ám</mark>

st	ress pattern	stem	suffix	mobile
	example	'bus'	'pencil'	'hair'
	nominative	avtóbus	karandáş	vólos
SG	dative	avtóbus-u	karandaş-ú	vólos-u
DI	nominative	avtóbus-i	karandaş-í	vólos- i
PL	dative	avtóbus-am	karandaş-ám	volos-ám

- Fixed stem stress is by far the most common
- Masculine inanimate nouns (the object of study) have somewhat common fixed suffix stress and two much less common mobile patterns (Brown et al., 1996)

Plural allomorphy

A minority of nouns within this inflection class take plural -a:

	example	'hair'	'city'
SG	nominative	vólos	górod
3G	dative	vólos-u	górod-u
DI	nominative	vólos- i	gorod-á
PL	dative	volos-ám	gorod-ám

Plural allomorphy

A minority of nouns within this inflection class take plural -a:

	example	'hair'	'city'
SG	nominative	vólos	górod
SG	dative	vólos-u	górod-u
	nominative	vólos- i	gorod- <mark>á</mark>
PL	dative	volos-ám	gorod- <mark>ám</mark>

• These nouns have *suffix stress* throughout the plural (and usually stem stress in the singular)

(NOTE: Things are more complicated than presented)

Plural allomorphy

A minority of nouns within this inflection class take plural -a:

	example	'hair'	'city'
SG	nominative	vólos	górod
SG	dative	vólos-u	górod-u
D.T.	nominative	vólos- i	gorod- <mark>á</mark>
PL	dative	volos-ám	gorod-ám

- These nouns have *suffix stress* throughout the plural (and usually stem stress in the singular)
- ... Including the nominative plural (as do some monosyllabic stems with plural -i)

Masculine nouns select for one (or more) of three basically productive diminutive suffixes:

example	'novel'	'package'	'staff'
nominative singular	román	pak ^j ét	pósox
dative plural	román-am	.	pósox-am
diminutive	román-t∫ ^j ik	раклет-лк	posoş-ók

Masculine nouns select for one (or more) of three basically productive diminutive suffixes:

example	'novel'	'package'	'staff'
nominative singular	román	pak ^j ét	pósox
dative plural	román-am	pak ^j ét-am	
diminutive	román-t∫ ^j ik	pak ^j ét- ^j ik	posoş-ók

 $-\delta k$ has some special (not unique) morphophonological properties:

Masculine nouns select for one (or more) of three basically productive diminutive suffixes:

example	'novel'	'package'	'staff'
nominative singular	román	pak ^j ét	pósox
dative plural diminutive	román-am román-t∫ ^j ik	.	pósox- <mark>am</mark> posoş- <mark>ók</mark>

-*ók* has some special (not unique) morphophonological properties:

always stressed, even when it "shouldn't" be (stress-dominant)

Masculine nouns select for one (or more) of three basically productive diminutive suffixes:

example	'novel'	'package'	'staff'
nominative singular	román	pak ^j ét	pósox
dative plural diminutive	román-am román-t∫ ^j ik	.	póso <mark>x</mark> -am poso <mark>ş</mark> -ók

 $-\delta k$ has some special (not unique) morphophonological properties:

- always stressed, even when it "shouldn't" be (stress-dominant)
- triggers palatalization alternation in preceding velars

- - Jik preferred by nouns ending in clusters and dispreferred by nouns ending in sonorants (Gouskova et al., 2015; Magomedova, 2017; Polivanova, 2008 [1967])
- nouns ending in velars almost always take $-\delta k$ (Gouskova et al., 2015; Kapatsinski, 2010; Magomedova, 2017; Magomedova & Slioussar, 2017; Polivanova, 2008 [1967])
- monosyllables disprefer $-t \not | ik$ (Gouskova et al., 2015) ...

- - Jik preferred by nouns ending in clusters and dispreferred by nouns ending in sonorants (Gouskova et al., 2015; Magomedova, 2017; Polivanova, 2008 [1967])
- nouns ending in velars almost always take -ók (Gouskova et al., 2015; Kapatsinski, 2010; Magomedova, 2017; Magomedova & Slioussar, 2017; Polivanova, 2008 [1967])
- monosyllables disprefer -tfik (Gouskova et al., 2015) ...
- - δk has a more pejorative flavor, while $-^{j}ik$ is more affectionate (Magomedova, 2017)

- - Jik preferred by nouns ending in clusters and dispreferred by nouns ending in sonorants (Gouskova et al., 2015; Magomedova, 2017; Polivanova, 2008 [1967])
- nouns ending in velars almost always take $-\delta k$ (Gouskova et al., 2015; Kapatsinski, 2010; Magomedova, 2017; Magomedova & Slioussar, 2017; Polivanova, 2008 [1967])
- monosyllables disprefer $-t \not | ik$ (Gouskova et al., 2015) ...
- - δk has a more pejorative flavor, while $-^{j}ik$ is more affectionate (Magomedova, 2017)
- -t / ik (the newest form) is becoming more productive, while ók (the oldest form) is becoming less productive (Magomedova, 2017; Magomedova & Slioussar, 2017)

- nouns with suffix or mobile stress prefer $-\delta k$ (Gouskova et al., 2015; Polivanova, 2008 [1967])
- nouns with plural -a prefer -ók

Outline

- Introduction
- Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

Corpus study

Corpus study of masculine inanimate nouns in Zaliznjak (1977), diminutives from the Russian National Corpus

- 8,178 nouns, of which 1,250 (15.3%) are attested with diminutives
 - more frequent nouns more likely to appear with diminutives

Corpus study

Corpus study of masculine inanimate nouns in Zaliznjak (1977), diminutives from the Russian National Corpus

8,178 nouns, of which 1,250 (15.3%) are attested with diminutives
 more frequent nouns more likely to appear with diminutives

Numbers should be taken with a grain of salt

- forms are occasionally mis-lemmatized
- $-^jik$, $-tf^jik$ (mostly animate), and $-\delta k$ (often inanimate) all have non-diminutive uses and/or homographs (Guzmán Naranjo, 2019)

Distribution of diminutives

- δk is slightly less common than - ^{j}ik overall ...

attested diminutive					
none	-tf ⁱ ik	Jik	-ók	multiple	% -ók
6928	347	435	369	99	32.1%

Distribution of diminutives

- δk is slightly less common than $-^{j}ik$ overall ...

attested diminutive

stress	none	-t∫ik	$-^{j}ik$	-ók	multiple	% -ók
stem	6477	339	345	141	44	17.1%
suffix	384	8	78	153	32	64.0%
mobile	67	0	12	75	23	86.2%

 But it's predominant among nouns with some or all stressed inflectional suffixes

Distribution of diminutives

 $-\delta k$ is slightly less common than -jik overall ...

stress and	attested diminutive					
plural	none	-tf ⁱ ik	Jik	-ók	multiple	% -ók
stem	6477	339	345	141	44	17.1%
suffix	384	8	78	153	32	64.0%
-i/i	383	7	78	153	32	64.3%
<i>-a</i>	1	1	0	0	0	o.o%
mobile	67	0	12	75	23	86.2%
-i/i	32	0	9	36	16	80.0%
<i>-a</i>	35	0	3	39	7	92.9%

- But it's predominant among nouns with some or all stressed inflectional suffixes
- And even more predominant among nouns with plural -a

Predictions

If speakers learn and productively apply statistical regularities from their language's lexicon (e.g. Albright & Hayes, 2003; Copot & Bonami, 2023; Ernestus & Baayen, 2003; Gouskova et al., 2015; Hayes et al., 2009; Tabachnick, 2024)

- Russian speakers should use diminutive -*ók* more often in words with stressed suffixes
- Russian speakers should use diminutive -ók even more often in words with stressed plural -a

Outline

- Introduction
- Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

Task

Nonce word presented twice, visually in frame sentences and auditorily:

- singular: мимголь [m^jimgól^j]
- plural: мимголи / мимголи / мимголя
 [m^jImgól^ji] [m^jImgel^já] [m^jImgel^já]

Task

Nonce word presented twice, visually in frame sentences and auditorily:

- singular: мимголь [m^jimgól^j]
- plural: мимголи / мимголи / мимголя
 [m^jimgól^ji] [m^jimgel^já]

Must select diminutive, presented visually with frame sentence and auditorily:

diminutive: мимгольчик / мимголик / мимголек
 [m^jImgól^jtʃ^jIk] [m^jImgól^jik] [m^jImgel^jók]

Details

- 87 disyllabic stress-final stimuli from Gouskova et al. (2015) and rerecorded
- 114 Russian-speaking participants from Prolific (6 more discarded for technical or linguistic issues)
- 40 trials each, one stimulus discarded \rightarrow 4,509 trials
- 15 stem stress -i, 15 suffix stress -i, 10 suffix stress -a

(NOTE: Most masculine inanimate -a plural nouns have non-final stress)

Results

$selected\ diminutive$

stress	plural	-tſ ^j ik	$-^{j}ik$	-ók	% -ók
stem	- <i>i/ŧ</i>	573	547	570	33.7%
suffix	-i/i	461	456	780	46.0%
suffix	-a	269	284	569	50.7%

Results

selected diminutive

stress	plural	-tſ ^j ik	$-^{j}ik$	-ók	% -ók
stem	- <i>i/ŧ</i>	573	547	570	33.7%
suffix	-i/i	461	456	780	46.0%
suffix	-a	269	284	569	50.7%

Same pattern as the lexicon (though less extreme, as is common for nonce words studies):

- preference for *-ók* in nouns with stressed plural suffix
- stronger preference for $-\delta k$ when this plural suffix is -a

Results

		selected diminutive					
stress	plural	-tſ ^j ik	$J^{j}ik$	-ók	% -ók		
stem	- <i>i</i> / <i>ŧ</i>	573	547	570	33.7%		
suffix	-i/i	461	456	780	46.0%		
suffix	- <i>a</i>	269	284	569	50.7%		

Same pattern as the lexicon (though less extreme, as is common for nonce words studies):

- preference for -*ók* in nouns with stressed plural suffix
- stronger preference for $-\delta k$ when this plural suffix is -a
- $-\delta k$ is not necessarily less productive, as previously claimed previous studies underrepresented nouns that favor $-\delta k$ (which may themselves be less productive)

Outline

- Introduction
- Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

Morphological knowledge in the grammar

- The correlation between diminutive -*ók* and plural -*a* (and suffix/mobile stress) is a component of speakers' morphological knowledge
- Generative morphosyntacticians tend to put such correlations into the symbolic grammar
- We shouldn't do that!

Typical proposal: Plural -a and diminutive $-\delta k$ are indexed by the same diacritic (or share a morphosyntactic representation)

- ullet nom, pl \leftrightarrow á / A ____
- dim \leftrightarrow ók / A ____

Typical proposal: Plural -a and diminutive $-\delta k$ are indexed by the same diacritic (or share a morphosyntactic representation)

$$\quad \bullet \quad \sqrt{\text{city}} \quad \leftrightarrow gorod_A \\$$

• NOM, PL
$$\leftrightarrow$$
 á / A ____

• dim
$$\leftrightarrow$$
 ók / A ____

attested diminutive

Typical proposal: Plural -a and diminutive $-\delta k$ are indexed by the same diacritic (or share a morphosyntactic representation)

$$\quad \bullet \quad \sqrt{\text{city}} \quad \leftrightarrow gorod_{A}$$

• NOM, PL
$$\leftrightarrow$$
 á / A ____

• dim
$$\leftrightarrow$$
 ók / A ____

attested diminutive

plural

$$-t \int^{j} ik$$
 $-j ik$
 $-ók$
 $-i/i$
 346
 431
 325

 $-a$
 I
 3
 39

Typical proposal: Plural -a and diminutive $-\delta k$ are indexed by the same diacritic (or share a morphosyntactic representation)

$$\ \, \bullet \ \, \sqrt{\text{city}} \quad \leftrightarrow gorod_{\text{A}}$$

• NOM, PL
$$\leftrightarrow$$
 á / A ____

• dim
$$\leftrightarrow$$
 ók / A ____

• DIM
$$\leftrightarrow$$
 ók / \circ ____

attested diminutive

plural	-tſ ^j ik	$-^{j}ik$	-ók
- <i>i/i</i>	346	43I	325
<i>-a</i>	I	3	39

Typical proposal: Plural -a and diminutive $-\delta k$ are indexed by the same diacritic (or share a morphosyntactic representation)

• NOM, PL
$$\leftrightarrow$$
 á / A ____
• DIM \leftrightarrow ók / A

plural

$$-tf^{j}ik$$
 $-jik$
 $-6k$
 $-i/i$
 346
 431
 325

 $-a$
 I
 3
 39

• dim
$$\leftrightarrow$$
 ók / 0 ____

Problems:

- Theoretical: leads to awkward duplication of VIs
- Empirical: hard-coded default predicts much stronger experimental effect of $-a \rightarrow \delta k$

Better alternative: Plural -a and diminutive $-\delta k$ are indexed by different diacritics (or morphosyntactic representations)

- $\bullet \ \sqrt{\text{CITY}} \quad \leftrightarrow gorod_{\textbf{A, O}}$
- NOM, PL \leftrightarrow á / A ____
- DIM \leftrightarrow ók / \circ ____

Better alternative: Plural -a and diminutive $-\delta k$ are indexed by different diacritics (or morphosyntactic representations)

- $\bullet \ \sqrt{\text{CITY}} \quad \leftrightarrow gorod_{A,\ O}$
- NOM, PL \leftrightarrow á / A ____
- dim \leftrightarrow ók / \circ ____

Speakers keep track of *patterns in underlying forms* of varying strength and use them when needed to productively extend to new forms (e.g. Albright & Hayes, 2003; Ernestus & Baayen, 2003; Gouskova et al., 2015; Halle & Marantz, 2008; Hayes et al., 2009)

 \bullet A \rightarrow O

(nouns with plural -a prefer -ók)

Better alternative: Plural -a and diminutive $-\delta k$ are indexed by different diacritics (or morphosyntactic representations)

- $\sqrt{\text{CITY}}$ \leftrightarrow gorod_{A, O}
- NOM, PL \leftrightarrow á / A ____
- dim \leftrightarrow ók / \circ ____

Speakers keep track of *patterns in underlying forms* of varying strength and use them when needed to productively extend to new forms (e.g. Albright & Hayes, 2003; Ernestus & Baayen, 2003; Gouskova et al., 2015; Halle & Marantz, 2008; Hayes et al., 2009)

 \bullet A \rightarrow O

(nouns with plural -a prefer -ók)

• $[dorsal]# \rightarrow 0$

(nouns ending in velars prefer $-\delta k$)

Better alternative: Plural -a and diminutive $-\delta k$ are indexed by different diacritics (or morphosyntactic representations)

- $\bullet \ \sqrt{\text{city}} \quad \leftrightarrow gorod_{\text{A, O}}$
- NOM, PL \leftrightarrow á / A ____
- dim \leftrightarrow ók / \circ ____

Speakers keep track of *patterns in underlying forms* of varying strength and use them when needed to productively extend to new forms (e.g. Albright & Hayes, 2003; Ernestus & Baayen, 2003; Gouskova et al., 2015; Halle & Marantz, 2008; Hayes et al., 2009)

 \bullet A \rightarrow O

(nouns with plural -a prefer -6k)

• $[dorsal]# \rightarrow 0$

(nouns ending in velars prefer $-\delta k$)

For new forms, speakers probabilistically assign features to underlying forms

 $\bullet \ [mimgel^j \acute{a}] \to /mimgol^j{}_{{\color{blue}A}}/ \leadsto /mimgol^j{}_{{\color{blue}A}, {\color{blue}O}}/ \to [mimgel^j \acute{o}k]$

Outline

- Introduction
- Background
- 3 Lexicon
- 4 Experiment
- 6 Analysis
- 6 Summary

Summary

- Diminutive selection in Russian is known to be sensitive to various *phonological* properties of masculine nouns (usually gradient, not categorical)
- To this we can add two inflectional properties preferring diminutive -ók:
 - Some or all inflectional suffixes stressed
 - Beyond this, minority (stressed) NOM/ACC plural -a
- These are cognitively real regularities constituting an important part of Russian speakers' morphological knowledge
- It does not behoove us to "hard-code" this knowledge into lexical representations or the morphological grammar
- Instead, they belong to a class of gradient generalizations over lexical items that are applied when needed to "fill out" incomplete lexical entries

References I

- Ackerman, F., & Malouf, R. (2013). Morphological organization: The low conditional entropy conjecture. *Language*, 89(3), 429–464.
- Albright, A., & Hayes, B. (2003). Rules vs. analogy in English past tenses: A computational/experimental study. *Cognition*, 90(2), 119–161.
- Bermúdez-Otero, R. (2013). The Spanish lexicon stores stems with theme vowels, not roots with inflectional class features. *Probus: International Journal of Romance Linguistics*, 25(1), 3–103.
- Brown, D., Corbett, G., Fraser, N., Hippisley, A., & Timberlake, A. (1996). Russian noun stress and network morphology. *Linguistics*, 34, 53–107.
- Copot, M., & Bonami, O. (2023).Behavioural evidence for implicative paradigmatic relations. *The Mental Lexicon*, 18(2), 177–217.
- Ernestus, M., & Baayen, R. H. (2003). Predicting the unpredictable: Interpreting neutralized segments in Dutch. *Language*, 79(1), 5–38.
- Gouskova, M., Newlin-Łukowicz, L., & Kasyanenko, S. (2015). Selectional restrictions as phonotactics over sublexicons. *Lingua*, 167, 41–81.
- Guzmán Naranjo, M. (2019). *Analogical classification in formal grammar*. Language Science Press.
- Halle, M., & Marantz, A. (2008). Clarifying "Blur": Paradigms, defaults, and inflectional classes. In A. Bachrach & A. Nevins (Eds.), *Inflectional identity* (pp. 55–72). Oxford University Press.
- Hayes, B., Zuraw, K., Siptár, P., & Londe, Z. (2009). Natural and unnatural constraints in Hungarian vowel harmony. *Language*, 85(4), 822–863.
- Jackendoff, R. (1975). Morphological and semantic regularities in the lexicon. Language, 51(3), 639-671.

References II

- Kapatsinski, V. (2010). Velar palatalization in Russian and artificial grammar: Constraints on models of morphophonology. *Laboratory Phonology*, 1(2), 361–393.
- Magomedova, V. (2017). Pseudo-allomorphs in Modern Russian. *University of Pennsylvania Working Papers in Linguistics*, 23(1), 16.
- Magomedova, V., & Slioussar, N. (2017). Stem-final consonant mutations in modern Russian. In Y. Oseki, M. Esipova, & S. Harves (Eds.), *Annual workshop on Formal Approaches to Slavic Linguistics: The NYU meeting 2015* (pp. 239–259). Michigan Slavic Publications.
- Polivanova, A. K. (2008 [1967]). Obrazovanije umen'šitel'nyx suščestvitel'nyx mužskogo roda. In *Obščeje i russkoje jazykoznanije: Izbrannyje raboty* (pp. 8–22). Rossijskij gosudarstvennyj gumanitarnyj universitet.
- Tabachnick, G. (2024). Hungarian speakers use morphological dependencies in inflecting novel forms. *Glossa: a journal of general linguistics*, 9.
- Zaliznjak, A. A. (1977). Grammatičeskij slovar' russkogo jazyka. Russkij Jazyk.